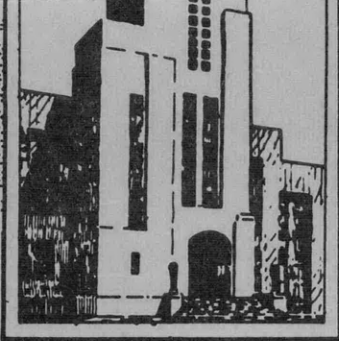


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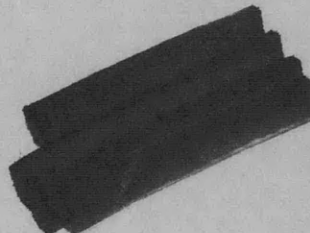


by

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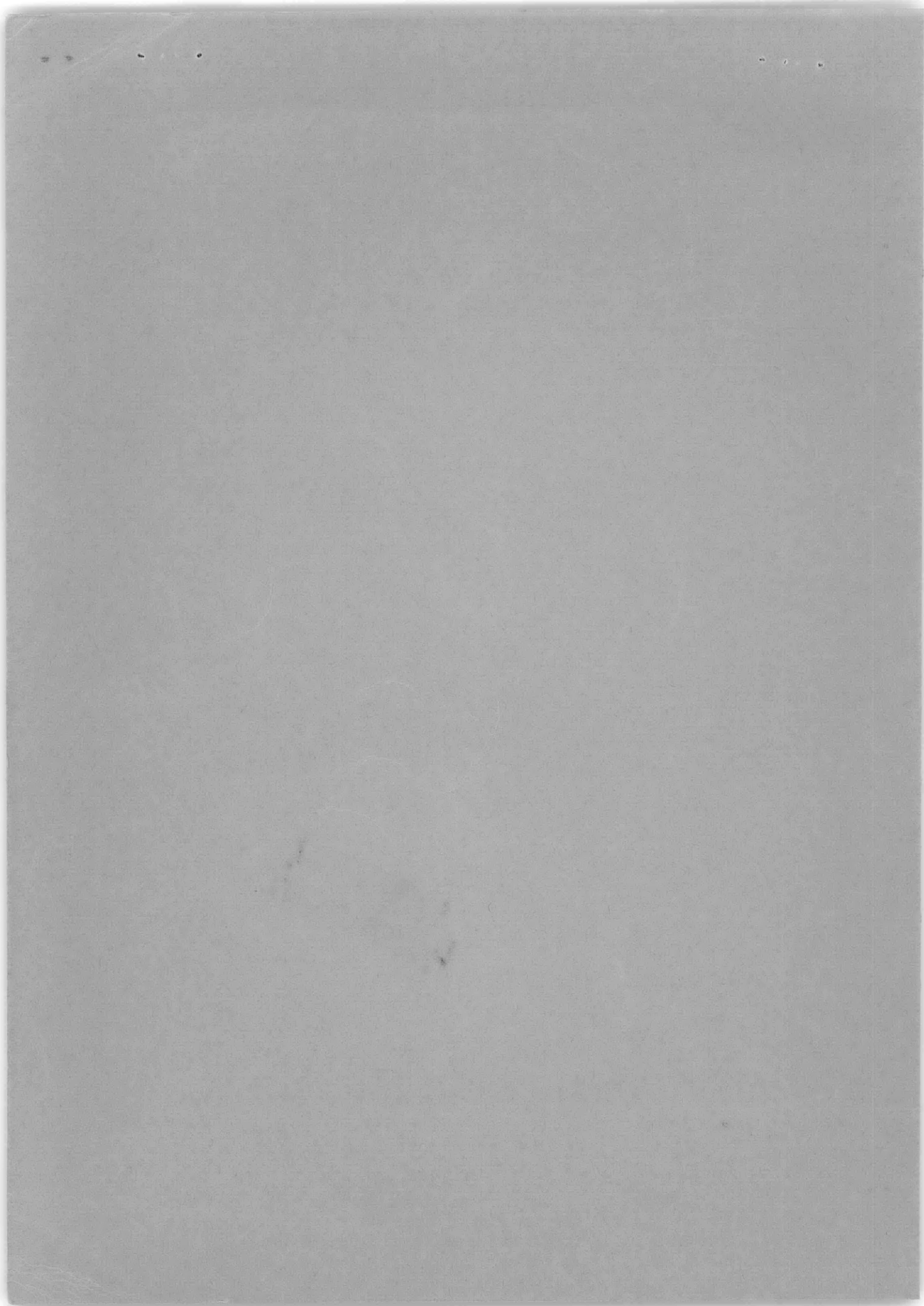
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RESEARCH AND DEVELOPMENT REPORT

January 1961

Report 1474



ERRATA

for

David Taylor Model Basin Report 1474, January 1961

Page 12 – End of third line from bottom now reads:

less than x_1

should read

less than x_n

Page 12 – Last line now reads

vanishes for x greater than x_1

should read

vanishes for x greater than x_n

In both cases the subscript 1 is to be replaced by n .

*Corrections made
7/13/61
S. E. Duncan*



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THE FAIRING OF SHIP LINES ON A HIGH-SPEED COMPUTER

by

Feodor Theilheimer and William Starkweather

January 1961

Report 1474

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ABSTRACT

Methods for using a digital high-speed computer to determine ship lines are presented. It is assumed that the offsets of a small number of points were taken from a preliminary design, and that it is desired to compute the offsets of an arbitrarily large number of points on the ship's surface. Procedures for using a computer for the solution of this problem are described. Special emphasis is placed on the detection, by a computational criterion, of unwanted fluctuations and the correction of such fluctuations if they should occur. The method also includes a special procedure which takes care that those portions which are straight in the preliminary design remain straight in the final form. Illustrative examples of the methods are discussed.

I. INTRODUCTION

Before the actual construction of a ship can begin, considerable time and effort has to be spent in the drawing of the ship lines. The purely graphical methods of determining ship lines are very tedious and time-consuming. Therefore, the problem of implementing the graphical methods by analytic procedures has been studied for a considerable time.

One of the most important earlier contributions is due to Admiral David W. Taylor.¹ An extensive history and bibliography of the problem is given in Volume II of "Hydrodynamics in Ship Design," by Captain Harold E. Saunders.² Among the more recent publications are papers by W.H. Rösingh and J. Berghius,³ P.C. Pien,⁴ and J.E. Kerwin.⁵

The analytical approach to the ship line problem has become particularly attractive since high-speed computers became available. This offers an opportunity to eliminate much of the drudgery inherent in the graphical method.

When ship lines are found by an analytic method, they may possess unwanted fluctuations. It is, therefore, desirable to have an analytic criterion which permits us to determine whether or not a line is free of unwanted fluctuations. This paper furnishes such a criterion and gives a method of finding lines without such fluctuations.

In Section II a method, which essentially amounts to an interpolation, is developed for finding a ship surface which passes exactly through a set of points given in a preliminary design.

In Section III this method is modified to a smoothing procedure which yields ship lines that are free of unwanted fluctuations but which may no longer pass exactly through the given points.

Section IV deals with the special case where the preliminary design contains straight line portions, and a method which reproduces these lines as straight lines is developed.

¹References are listed on page 21.

Section V describes applications of the various procedures for finding ship lines by a high-speed computer.

II. INTERPOLATION METHOD

The coordinate system is taken as indicated in Figure 1; the x -axis is longitudinal, the z -axis is vertical, and the y -axis is perpendicular to both the x - and z -axes.

The determination of ship lines by analytic methods is considered equivalent to finding a function of two variables

$$y = F(x, z) \quad [1]$$

so that for every fixed value of z we have y as a function of x along a waterline, which permits us to compute an arbitrarily large number of half-breadths on that waterline. Likewise, a fixed value of x would yield an arbitrarily large number of half-breadths along a section.

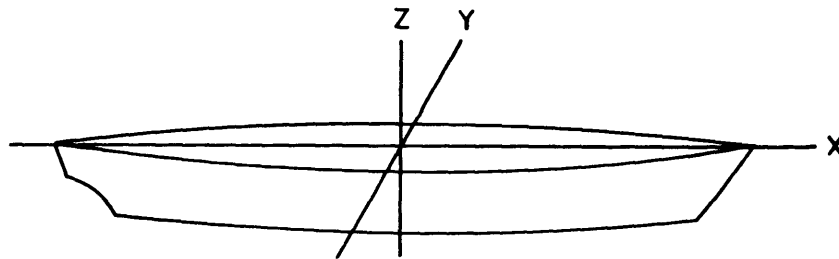


Figure 1 - The Coordinate Axes

We assume that the ship for which we are to determine $y = F(x, z)$ is described to us by a table of offsets taken from a preliminary design.

Let the offsets be given at $N + 1$ points on each of $K + 1$ waterlines. Then we will develop a procedure which yields $y = F(x, z)$ and thus gives an arbitrarily large number of offsets instead of the given $(N + 1)(K + 1)$ points. The existing program for the computing machine is set up for handling cases where N and K can be as large as 24, but, in the cases actually treated, N and, particularly, K were much smaller.

To find a function $y = F(x, z)$ we first determine a function $y = f(x)$ which corresponds to a single waterline. The finding of such a function $y = f(x)$ will turn out to be an essential part of the determination of the surface $y = F(x, z)$.

We assume that the entire waterline is divided into N , not necessarily equal, intervals and that the $N + 1$ points $(x_0, y_0), \dots, (x_N, y_N)$ are given. We now consider the problem of finding a curve which passes exactly through these points. This interpolation problem can be attacked only after we make a choice as to the type of curve that should represent a waterline.

We shall insist that the function be continuous and have continuous slope and curvature or, what amounts to the same, have continuous first and second derivatives.

A simple type of curve that satisfies these conditions is the following:

Let the curve consist of a number of segments, each segment being represented by a cubic. These cubics are joined in such a way that at the juncture points the function, its first derivative, and its second derivative are continuous.

Curves of this type arise in the theory of small deflections of thin beams which are simply supported at a finite number of points. To some extent a batten or spline held in place by so-called ducks, as it is used in the drawing of ship lines, can be approximated by a thin beam simply supported at a finite number of points. The analogy between a spline and a thin beam gave rise to the name "spline curve" for the type of curve consisting of cubic segments described here.

We have chosen spline curves to represent ship lines. The explicit formula for a spline curve is greatly simplified if we introduce the following notation:

$$\begin{aligned} (x-a)_+^3 &= 0 && \text{for } x \leq a \\ &= (x-a)^3 && \text{for } x \geq a \end{aligned} \quad [2]$$

Equation [2] can also be written in the form:

$$(x-a)_+^3 = \frac{1}{2} \left[(x-a)^3 + |x-a|^3 \right] \quad [3]$$

With the aid of this notation, a spline curve which has discontinuities of the third derivative at the points x_1, \dots, x_{N-1} can be written as

$$f(x) = y = a + bx + cx^2 + A_0 x^3 + A_1 (x-x_1)_+^3 + \dots + A_{N-1} (x-x_{N-1})_+^3 \quad [4]$$

It is clear that each new term

$$A_n (x-x_n)_+^3, \quad n = 1, \dots, N-1$$

introduces a discontinuity of the third derivative at $x = x_n$, the magnitude of the jump in the third derivative being equal to $6A_n$, whereas the continuity of the function, its first derivative, and its second derivative remain undisturbed.

In each of the N intervals

$$x_{n-1} < x < x_n, \quad n = 1, 2, \dots, N$$

Formula [4] sums to a single cubic. In individual cases any of the four terms of such a cubic may drop out in the summation, and, in particular, it is possible that the cubic may reduce to a straight line. This shows that the spline curve lends itself to the representation of curves which contain straight portions as, for instance, waterlines on ships with parallel middlebody.

We shall now develop a procedure for determining the coefficients of a spline curve which passes exactly through the $N+1$ points

$$(x_0, y_0), (x_1, y_1), \dots, (x_N, y_N)$$

We choose as points of discontinuity of the third derivative the $N-1$ inner points

$$(x_1, y_1), \dots, (x_{N-1}, y_{N-1})$$

of the given set of points. The spline curve then appears in the form of Equation [4] which has $N+3$ coefficients. For the determination of these coefficients we have $N+1$ equations; namely, the conditions that the curve should pass through the $N+1$ given points, $y_n = f(x_n)$ for $n = 0, 1, \dots, N$, which means that we have two more unknowns than equations.

To get a clearer picture of the role of these two degrees of freedom, we rewrite $f(x)$ of Equation [4] in the form

$$f(x) = f_0(x) + pf_1(x) + qf_2(x) \quad [5]$$

where f_0, f_1 , and f_2 are to satisfy the following conditions:

$$\begin{aligned} f_0(x_n) = y_n \quad f_1(x_n) = 0 \quad f_2(x_n) = 0, \quad n = 0, 1, \dots, N \\ f_0'(x_0) = 0 \quad f_1'(x_0) = 1 \quad f_2'(x_0) = 0 \\ f_0''(x_0) = 0 \quad f_1''(x_0) = 0 \quad f_2''(x_0) = 2 \end{aligned} \quad [6]$$

Each one of the functions f_0, f_1, f_2 is now determined by $N+3$ conditions. The requirements at x_0 are clearly satisfied if we write:

$$\begin{aligned} f_0(x) &= y_0 + B_0(x-x_0)^3 + B_1(x-x_1)_+^3 + \dots + B_{N-1}(x-x_{N-1})_+^3 \\ f_1(x) &= x - x_0 + C_0(x-x_0)^3 + C_1(x-x_1)_+^3 + \dots + C_{N-1}(x-x_{N-1})_+^3 \\ f_2(x) &= (x-x_0)^2 + D_0(x-x_0)^3 + D_1(x-x_1)_+^3 + \dots + D_{N-1}(x-x_{N-1})_+^3 \end{aligned} \quad [7]$$

The B_n, C_n , and $D_n, n = 0, \dots, N-1$, can be found by utilizing the equations $f_0(x_n) = y_n, f_1(x_n) = 0, f_2(x_n) = 0, n = 1, \dots, N$. To find these coefficients one has three systems each of N equations in N unknowns, which are obtained by substituting the values of x_1, x_2, \dots, x_N in place of x in the three equations of [7], and by utilizing the first line of Equations [6]. The coefficients B_n, C_n , and D_n can be found without solving the systems of equations in the usual manner. Substituting $x = x_1$ immediately yields B_0, C_0 , and D_0 , and

if we put $x = x_n$, we find B_n in terms of B_0, B_1, \dots, B_{n-1} and similarly, C_n and D_n in terms of the preceding C 's and D 's, respectively.

After the functions f_0, f_1 , and f_2 are thus determined, the parameters p and q of Equation [5] must be found. Among the curves

$$y = f_0 + pf_1 + qf_2$$

which all pass through the $N+1$ points $(x_0, y_0), \dots, (x_N, y_N)$, we have to choose one which is by some criterion most desirable.

Between two spline curves, we will consider as more desirable the one which has smaller jumps of the third derivative, or more precisely, the one where the sum of the squares of the jumps of the third derivative is less. Going back to thin beam theory, we note that the reaction force at a point of support is proportional to the jump in the third derivative. The desired spline curve is, therefore, the one for which

$$\sum_{n=1}^{N-1} (A_n)^2 \quad [8]$$

becomes a minimum, since $6A_n$ is the jump in the third derivative at x_n for the function $f(x)$ of Equation [4].

From Equations [5] and [7] we see that

$$A_n = B_n + pC_n + qD_n \quad [9]$$

and therefore

$$\sum_{n=1}^{N-1} (B_n + pC_n + qD_n)^2 \quad [10]$$

has to become a minimum.

This leads to the following two linear equations in p and q

$$\begin{aligned} p \sum C_n^2 + q \sum C_n D_n &= - \sum B_n C_n \\ p \sum C_n D_n + q \sum D_n^2 &= - \sum B_n D_n \end{aligned} \quad [11]$$

where we always sum in n from 1 to $N-1$.

By this method a spline curve which passes through $N+1$ given points is found in the form:

$$f(x) = y_0 + p(x-x_0) + q(x-x_0)^2 + A_0(x-x_0)^3 + \sum_{n=1}^{N-1} A_n(x-x_n)_+^3 \quad [12]$$

By expanding the powers of $(x-x_0)$, this can be brought into the form

$$f(x) = a + bx + cx^2 + A_0x^3 + \sum_{n=1}^{N-1} A_n(x-x_n)_+^3 \quad [4]$$

We have thus developed a procedure for finding a spline curve which represents a waterline that passes through $N+1$ given points. If we are given $N+1$ points on $K+1$ waterlines, we can therefore find $K+1$ functions, $f_k(x)$, $k = 0, \dots, K$, expressing the $K+1$ waterlines. Our next step will then be to find $y = F(x, z)$ so that

$$F(x, z_k) = f_k(x) \quad [13]$$

where z_k is the z coordinate of the k th waterline.

Each one of the functions $f_k(x)$ appears in the form of $f(x)$ as given in Equation [4] where the coefficients $a, b, c, A_0, A_1, \dots, A_{N-1}$ are generally different for each $f_k(x)$. Each one of these coefficients, say for example, a is now given for $z = z_0, z = z_1, \dots, z = z_k$. We can therefore ask for a function $a(z)$ for which we are given values at z_0, z_1, \dots, z_K . This is exactly the same problem that confronted us when we were given $N+1$ points on a waterline and found $f(x)$.

By using the same procedure which gave us $f(x)$ we can now find $a(z)$ as a spline function of z . In the same manner $b(z), c(z), A_0(z), \dots, A_{N-1}(z)$ can be found. This gives the expression for the whole surface

$$F(x, z) = a(z) + b(z) \cdot x + c(z) \cdot x^2 + A_0(z) \cdot x^3 \\ + A_1(z) \cdot (x-x_1)_+^3 + \dots + A_{N-1}(z) \cdot (x-x_{N-1})_+^3 \quad [14]$$

Each one of the $N+3$ coefficient functions $a(z), b(z), c(z), A_0(z), A_1(z), \dots, A_{N-1}(z)$ is itself a sum of $K+3$ terms.

In this derivation we worked under the assumption that the abscissas $x_n, n = 1, \dots, N-1$ do not change from waterline to waterline. In general, we assume that the data given originally will satisfy this requirement. However, we permit x_0 and x_N , which do not appear explicitly in the formula for the spline curve, to vary from waterline to waterline. This is very advantageous since, in general, waterlines on different levels do not have the same x_0 and x_N .

In order to apply our procedure as it stands, it is necessary that x_1, \dots, x_{N-1} do not change from waterline to waterline. However, a simple modification of our procedure will permit us to handle the case where some waterlines do not conform to this restriction.

If some waterlines have offsets given at x_0, x_1, \dots, x_N , whereas one waterline has offsets given at $x_0^*, x_1^*, \dots, x_N^*$, then we can find a function which expresses this waterline in a form analogous to Equation [4] by means of a function

$$f(x) = a + bx + cx^2 + A_0x^3 + A_1(x-x_1^*)_+^3 + \dots + A_{N-1}(x-x_{N-1}^*)_+^3$$

From this function we can find $f(x_1), f(x_2), \dots, f(x_{N-1})$ which can serve as ordinates of modified data which go with the abscissas x_1, \dots, x_{N-1} . Such a modification can also be used if one line contains fewer points than the other lines.

The derivation of $F(x, z)$ described here shows immediately that the originally given points on each waterline do not have to have equidistant abscissas nor do the waterlines have to be equally spaced.

A program to compute the coefficients of the function $y = F(x, z)$ was coded for the Remington Rand high-speed computer UNIVAC. Further computer programs were then developed to evaluate this function and to print out in tabular form the offsets along any portion of any waterline if z is given and the first desired value of x , an increment of x , and the number of x values desired are entered into the machine. In similar fashion, any portion of any transverse section can be tabulated.

These various machine programs were later recoded for the IBM 704 computing system.

It is clear that the determination of the coefficients for the function $y = F(x, z)$ constitutes the main computing effort. The evaluation of the function and the printing of the results for any number of points requires very little extra time.

III. SMOOTHING METHOD

The procedure described thus far usually gives very satisfactory results, but in some cases it turned out that the computed ship lines showed unwanted fluctuations which could be recognized by plotting the lines. There arises a need for a criterion which will permit the computer to determine whether or not a line is free of such unwanted fluctuations.

Clearly, a curve that has no inflection points would be free of all fluctuations. We cannot require, however, that ship lines have no inflection points, because there are well-designed ship lines that do have inflection points. What we want to avoid are extraneous inflection points. We therefore have to determine where the given data indicate the presence or absence of an inflection point.

This can be done by studying three successive points P_{n-1}, P_n, P_{n+1} with the coordinates $(x_{n-1}, y_{n-1}), (x_n, y_n), (x_{n+1}, y_{n+1})$. If the three points are plotted and P_{n-1} and P_{n+1} are joined by a straight line, it can readily be seen whether the curve in the vicinity of P_n is concave upward or downward. This graphical method can be easily translated into analytical form by introducing the second difference, which here shall be called r_n .

Then the sign of the second difference will indicate whether the curve is to be concave upward or downward at P_n . A positive second difference indicates concave upward, a negative second difference indicates concave downward.

The formula for the second difference becomes particularly simple in the case of equally spaced abscissas:

$$(x_{n+1} - x_n) = (x_n - x_{n-1}) = h$$

Then the second difference becomes

$$r_n = \frac{y_{n+1} - 2y_n + y_{n-1}}{h^2} \quad [15]$$

In the case where the abscissas are not equally spaced, Formula [15] is to be replaced by the general formula:

$$r_n = \frac{2}{x_{n+1} - x_{n-1}} \left(\frac{y_{n+1} - y_n}{x_{n+1} - x_n} - \frac{y_n - y_{n-1}}{x_n - x_{n-1}} \right) \quad [16]$$

If we are given the $N + 1$ points

$$(x_0, y_0), \dots, (x_N, y_N)$$

we can readily determine $N - 1$ second differences.

$$r_1, \dots, r_{N-1}$$

If r_n and r_{n+1} have the same sign, we can consider this an indication that there should not be an inflection point between x_n and x_{n+1} . If they have different signs, the given data suggest an inflection point between x_n and x_{n+1} . We are now in a position to determine whether or not a curve $y = f(x)$ has unwanted fluctuations. Only if the second derivative $f''(x_n)$ and the second difference r_n have the same sign can we be sure that the curve $y = f(x)$ is free of unwanted fluctuations

Here it is understood that a function whose second derivatives at x_n and x_{n+1} have the same sign has no inflection points between x_n and x_{n+1} . This, however, will not be true for every function; for instance, it will not be true for a polynomial of degree higher than three. Such a function can have an even number of inflection points between two successive points at which the second derivatives have equal signs. However, if we deal with spline curves, x_n and x_{n+1} are joined by a cubic, which can possess not more than one single inflection point. By the same reasoning, we find that if in the case of a spline curve, $f''(x_n)$ and $f''(x_{n+1})$ have different signs, there will be precisely one inflection point in the interval x_n to x_{n+1} , and not an arbitrary odd number as it might be for the general curve.

For illustration, we have discussed the comparison of the second differences of the data with the second derivatives of the computed curve only in the case where the curve has been a waterline, and we have, therefore, been finding a function of x . Exactly the same test can, of course, be carried out when we are finding a function of z .

The test of whether or not a given curve has unwanted fluctuations can be carried out very easily by the computing machine for either waterlines or sections, and the program can be written so that the computing machine prepares a listing of the points where the curve fails the test. It may occasionally happen that the discrepancies are of a trivial nature, but if they are not, a method must be devised to compute new lines which are free of unwanted fluctuations.

In trying to free ourselves from unwanted fluctuations we think of a smoothing procedure which relaxes the requirement that the computed curve should pass exactly through the given points.

The method of least squares immediately suggests itself. If, however, a least-squares method is applied, one finds that in comparatively simple cases one is led to unwanted fluctuations. The fact that a least-squares fit may yield fluctuations can be ascribed to the following consideration:

A least-squares fit minimizes the sum of the squares of the differences between the given and computed values but imposes no constraint to minimize fluctuations. If we want to free ourselves of fluctuations, we have to take care that the second difference and the second derivative have the same sign in each case. This can be attempted by minimizing the sum of the squares of the discrepancies between the second differences and the second derivatives.

Such a minimization is essentially implicit in the interpolation procedure described by Equations [4] through [10]. There we minimize $\Sigma(A_n)^2$. It can be shown that

$$A_n = \frac{1}{h} \left[r_n - f''(x_n) \right] \quad [17]$$

in the case where the abscissas are equally spaced with the spacing h :

Let x_n be a point where the third derivative of a spline curve undergoes a jump:

$$6A_n = f'''(x_{n+}) - f'''(x_{n-}) \quad [18]$$

then

$$f(x_n + h) = f(x_n) + hf'(x_n) + \frac{h^2}{2} f''(x_n) + \frac{h^3}{6} f'''(x_{n+}) \quad [19]$$

$$f(x_n - h) = f(x_n) - hf'(x_n) + \frac{h^2}{2} f''(x_n) - \frac{h^3}{6} f'''(x_{n-}) \quad [20]$$

If we add Equations [19] and [20] and utilize Equations [15] and [18], we get Equation [17].

Therefore, when we used the interpolation method described by Equations [4] through [10], we found among all possible spline curves passing exactly through the $N + 1$ given

points the one for which, in the case of equally spaced points, the discrepancies between the second differences and the second derivatives were least.

Even if we minimize the discrepancies between the second differences and the second derivatives but insist that the curve pass exactly through the given points, we will often get unwanted fluctuations. To free ourselves from these fluctuations, we have to relax the requirement that the computed curve pass exactly through the given points.

If we no longer insist that the curve pass exactly through the given points, we do not require that $f(x_n)$ be equal to y_n , but we still want to make $\sum_{n=0}^N [f(x_n) - y_n]^2$ small. To avoid extraneous fluctuations we also want to make $\sum_{n=1}^{N-1} [f''(x_n) - r_n]^2$ small. We therefore minimize a linear combination of the two sums; namely,

$$\sum_{n=0}^N [f(x_n) - y_n]^2 + s \sum_{n=1}^{N-1} [f''(x_n) - r_n]^2 \quad [21]$$

The use of the parameter s enables us to emphasize either the first or the second sum. By choosing s small we put more stress on minimizing the first sum, which makes the curve pass more closely to the given points. By choosing s large we put more stress on minimizing the second sum, which makes the discrepancy between the second derivative and the second difference small. This tends to make them agree in sign, and thus tends to eliminate unwanted fluctuations.

If we study the case of $s = 0$ we minimize only the first sum. This sum has a zero minimum, since each summand can be made zero individually by solving the $N + 1$ linearly independent equations, $f(x_n) = y_n$, $n = 0, 1, \dots, N$, for the $N + 3$ unknown coefficients, $a, b, c, A_0, \dots, A_{N-1}$. Therefore $s = 0$ leads to a curve passing exactly through the given points. This curve, however, would not be uniquely determined but would depend on two parameters just as in Equation [5].

A similar situation would prevail if we were to minimize only the second sum in Formula [21], which would be equivalent to letting s become infinite. Then we would also get a zero minimum, and we would achieve perfect agreement between the second derivatives and the second differences. For sufficiently large s we can therefore make the second sum as small as we please and obtain agreement in sign between second derivatives and second differences.

Thus we have found a method for computing a curve which is suggested by $N + 1$ points. We first minimize expression [21] with a small s and then test to see if there are any unwanted fluctuations. If there are some, we increase s until the curve is satisfactory. When all waterli have been fitted with satisfactory curves, we can then fit like coefficients into functions of z by utilizing Formula [21] again, and thereby find the expression for the ship's surface $y = F(x,$

Although one may choose different s values for different lines and even for different points on a given line, most of the work has been done primarily by using the same value of s throughout for any given run on the computer.

IV. LINES WITH STRAIGHT PORTIONS

One of the reasons for choosing spline curves as typical ship lines was the fact that ship lines often contain straight portions. A polynomial or any other analytic function cannot contain straight as well as curved portions. Although spline curves can contain straight portions as well as curved ones, the methods developed thus far do not contain any special provisions which will ensure that straight portions will be reproduced as straight portions.

It is felt that every effort should be made to reproduce exactly those portions of the preliminary design which are clearly intended to be straight.

First, we will treat the case where the straight portion is at the beginning of the line. Suppose that of the $N+1$ points

$$(x_n, y_n), \quad n = 0, \dots, N$$

the first $L+1$ points lie on a straight line of slope a .

Then

$$y_n = y_0 + a(x_n - x_0) \quad [22]$$

$$\text{for } n = 0, \dots, L$$

and the desired function can be written

$$f(x) = y_0 + a(x - x_0) + \sum_{n=L}^{N-1} A_n(x - x_n)_+^3 \quad [23]$$

The $N-L$ unknown coefficients A_n , $n = L, \dots, N-1$ could be found by solving the $N-L$ equations:

$$f(x_{L+1}) = y_{L+1} = y_0 + a(x_{L+1} - x_0) + A_L(x_{L+1} - x_L)^3$$

$$f(x_{L+2}) = y_{L+2} = y_0 + a(x_{L+2} - x_0) + A_L(x_{L+2} - x_L)^3 + A_{L+1}(x_{L+2} - x_{L+1})^3$$

$$f(x_N) = y_N = y_0 + a(x_N - x_0) + A_L(x_N - x_L) + A_{L+1}(x_N - x_{L+1})^3 \quad [24]$$

$$+ \dots + A_{N-1}(x_N - x_{N-1})^3$$

After having done this we would have to apply the previously derived criterion to find out whether or not there were any fluctuations. If there were unwanted fluctuations, we would then minimize the expression:

$$\sum_{n=L+1}^N [f(x_n) - y_n]^2 + s \sum_{n=L+1}^{N-1} [f''(x_n) - r_n]^2 \quad [25]$$

As before, a sufficiently large s will ensure that the sign of the second derivative $f''(x_n)$ of the computed curve will agree with the sign of the second difference r_n of the given data at each x_n .

If a ship line consists of a curved part followed by a straight part of slope b ; that is, if, of the $N+1$ points,

$$(x_n, y_n), \quad n = 0, \dots, N$$

the last $L+1$ points lie on a straight line, then

$$y_n = y_N - b(x_N - x_n) \quad [26]$$

for $n = N, N-1, \dots, N-L$.

The expression for the ship line is then:

$$f(x) = y_N - b(x_N - x) + \sum_{n=N-L}^1 A_n^* (x_n - x)_+^3 \quad [27]$$

where $(x_n - x)_+^3 = 0$ for $(x_n - x) < 0$.

The A_n^* can be determined by a procedure analogous to the one used in Equations [24] or [25]. The expression for a line thus determined will contain terms of the form $A_n^* (x_n - x)_+^3$, whereas we usually write lines with terms of the form $A_n (x - x_n)_+^3$.

The two forms are related to each other in the following way:

$$(x - x_n)_+^3 - (x_n - x)_+^3 = (x - x_n)^3 \quad [28]$$

That this is a valid relationship becomes evident when we realize that for x less than x_n , the first term on the left-hand side of Equation [28] becomes zero, whereas the second term vanishes for x greater than x_n .

↑
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Therefore, Equation [27] can be modified in the following way:

$$\begin{aligned}
 f(x) &= y_N - b(x_N - x) + \sum_{n=N-L}^1 A_n^* (x_n - x)_+^3 \\
 &= \left[y_N - b(x_N - x) - \sum_{n=N-L}^1 A_n^* (x - x_n)^3 \right] + \sum_{n=N-L}^1 A_n^* (x - x_n)_+^3
 \end{aligned} \tag{29}$$

The terms inside the brackets can be arranged as a single cubic.

We have thus far treated the cases where a straight portion is followed by a curved portion and where a curved portion is followed by a straight portion. There is one more possibility; namely, that a line has a straight portion followed by a curved portion followed by a straight portion. If there are one or possibly more straight portions in the interior of a line, then we can subdivide each of the inner straight portions and treat the thus achieved cases individually.

The case of a curved portion between two straight portions leads to the problem of finding a spline curve for which the ordinates, the slopes, and the second derivatives of the two end points are given. In particular, the second derivatives are zero. Since six conditions are imposed, the spline curve must have at least six coefficients, which means that it must consist of at least three cubic arcs.

We first consider the case where there are two points given between the end points of the straight portions. Let x_L be the abscissa of the end point of one of the straight lines and x_{L+3} be the initial abscissa of the next straight line.

If

$$\begin{aligned}
 f(x_L) &= A & f(x_{L+3}) &= B \\
 f'(x_L) &= a & f'(x_{L+3}) &= b \\
 f''(x_L) &= 0 & f''(x_{L+3}) &= 0
 \end{aligned} \tag{30}$$

then

$$f(x) = A + a(x - x_L) + \sum_{n=L}^{L+3} A_n (x - x_n)_+^3 \tag{31}$$

which immediately satisfies the conditions at x_L .

The conditions at x_{L+3} yield three linear equations in the three unknowns A_L , A_{L+1} , and A_{L+2} . The determinant of this system is recognized to be different from zero because it

contains three rows which are the first, second, and third powers of $(x_{L+3} - x_n)$, $n = L, L+1, L+2$.

The coefficient A_{L+3} is determined by the requirement that for $x > x_{L+3}$ the curve should be a straight line; namely, $f(x) = B + b(x - x_{L+3})$.

The solution of this problem can be given explicitly for the case of equidistant abscissas $x_L, x_L + h, x_L + 2h, x_L + 3h$. To simplify the necessary formulas, we look at it as a combination of three elementary cases.

In the first case, if $f(x_L) = A$ and $f(x_L + 3h) = B$ and $f'(x_L) = f''(x_L) = f'(x_L + 3h) = f''(x_L + 3h) = 0$, then by solving Equations [30] adapted for this case,

$$f(x) = A + \frac{B-A}{6h^3} \left[(x-x_L)_+^3 - 3(x-x_L-h)_+^3 + 3(x-x_L-2h)_+^3 - (x-x_L-3h)_+^3 \right] \quad [32]$$

In the second case, if $f(x_L) = f(x_L + 3h) = f''(x_L) = f'(x_L + 3h) = f''(x_L + 3h) = 0$, but $f'(x_L) = a$, then

$$f(x) = a(x-x_L) + \frac{a}{6h^2} \left[-2(x-x_L)_+^3 + 5(x-x_L-h)_+^3 - 4(x-x_L-2h)_+^3 + (x-x_L-3h)_+^3 \right] \quad [33]$$

In the third case, if $f(x_L) = f(x_L + 3h) = f'(x_L) = f''(x_L) = f''(x_L + 3h) = 0$, but $f'(x_L + 3h) = b$, then

$$f(x) = \frac{b}{6h^2} \left[-(x-x_L)_+^3 + 4(x-x_L-h)_+^3 - 5(x-x_L-2h)_+^3 + 2(x-x_L-3h)_+^3 \right] \quad [34]$$

If $f(x_L) = A$, $f(x_L + 3h) = B$, $f'(x_L) = a$, $f'(x_L + 3h) = b$, $f''(x_L) = f''(x_L + 3h) = 0$, then $f(x)$ can be written as the sum of the three expressions [32], [33], and [34].

It is to be observed that for both the equidistant and the nonequidistant solutions of Equations [30], the curved part is completely defined by the slopes and the end points of the given straight portions. The magnitudes of the ordinates at x_{L+1} and x_{L+2} do not enter into the computation; therefore, if fewer than two points are given in the gap between the end points of the two straight portions this method can also be applied. All that is necessary is to choose additional abscissas in the gap without fixing their ordinates.

A different situation prevails if the curved part between the two straight portions has more than two given points. Let the first straight line end at x_L so that

$$f(x_L) = A, f'(x_L) = a, f''(x_L) = 0 \quad [35]$$

and the next straight portion begin at x_M so that

$$f(x_M) = B, f'(x_M) = b, f''(x_M) = 0 \quad [36]$$

where $M - L > 3$.

We can immediately give one such spline curve which satisfies at least all continuity requirements at its junctures with the two straight portions. This can be done by extending the first straight line until only three intervals have to be bridged by the curve. The following relations will have to be satisfied:

$$\begin{aligned}
 f_0(x_{M-3}) &= A + a(x_{M-3} - x_L) & f_0(x_M) &= B \\
 f_0'(x_{M-3}) &= a & f_0'(x_M) &= b \\
 f_0''(x_{M-3}) &= 0 & f_0''(x_M) &= 0
 \end{aligned}
 \tag{37}$$

The conditions at x_{M-3} are immediately satisfied when we write

$$\begin{aligned}
 f_0(x) &= A + a(x - x_L) + A_{M-3}(x - x_{M-3})_+^3 + A_{M-2}(x - x_{M-2})_+^3 \\
 &\quad + A_{M-1}(x - x_{M-1})_+^3 + A_M(x - x_M)_+^3
 \end{aligned}
 \tag{38}$$

The coefficients A_{M-3} , A_{M-2} , A_{M-1} follow from the conditions at x_M whereas A_M is a result of the requirement that the line should be straight for $x > x_M$.

Although such a spline curve satisfies the continuity requirements at x_L and x_M , in general, it cannot be expected to pass closely enough to the interior points (x_{L+1}, y_{L+1}) , (x_{L+2}, y_{L+2}) , \dots , (x_{M-1}, y_{M-1}) , nor is there any assurance that the curve will be free of fluctuations.

The particular solution of the problem of finding a spline curve for which Equations [35] and [36] hold can be expanded into a general solution if we add linear combinations of spline curves which satisfy the conditions:

$$f(x_L) = f'(x_L) = f''(x_L) = f(x_M) = f'(x_M) = f''(x_M) = 0
 \tag{39}$$

The general solution satisfying [39] is of the form

$$f(x) = \sum_{n=L}^M A_n (x - x_n)_+^3
 \tag{40}$$

The first three conditions of Equations [39] are automatically satisfied. The last three conditions of Equations [39] lead to three equations in A_L , A_{L+1} , \dots , A_{M-1} , whereas A_M is determined from the requirement that the spline curve be straight for $x > x_M$.

The three equations in A_L , \dots , A_{M-1} have coefficients which form a matrix of rank three, since it contains three rows which are, respectively, the third, second, and first powers of

$$(x_M - x_n) \text{ for } n = L, L+1, \dots, M-1$$

Since the difference between the number of unknowns and the rank of a linear equation system gives the number of linearly independent solutions, we will have here $M - L - 3$ linearly independent solutions.

One way of finding a special set of such independent solutions is to determine $M - L - 3$ functions which satisfy the conditions

$$f_k(x) = 0 \text{ for } x_L \leq x \leq x_{L+k-1} \tag{41}$$

$$\text{and for } x_{L+k+3} \leq x \leq x_M$$

and which in the interval $x_{L+k-1} < x < x_{L+k+3}$ is controlled by the conditions

$$f_k'(x_{L+k-1}) = 0 \quad f_k''(x_{L+k+3}) = 0 \tag{42}$$

$$f_k''(x_{L+k-1}) = 0 \quad f_k'''(x_{L+k+3}) = 0$$

for $k = 1, 2, \dots, M - L - 3$.

These Equations [41] and [42] are a case of Equations [39] if we replace L by $L + k - 1$ and M by $L + k + 3$, and thus, $M - L$ becomes 4. Therefore, for every value of $k = 1, 2, \dots, M - L - 3$, we get exactly one independent solution, $f_k(x)$.

Since along with $f_k(x)$ any multiple of $f_k(x)$ is a solution of these equations, we normalize by requiring that

$$f_k(x_{L+k}) = 1 \tag{43}$$

These functions $f_k(x)$ are then shown to be linearly independent in the following way: If there were to exist a relation

$$\sum_{k=1}^{M-L-3} V_k f_k(x) = 0$$

then substituting $x = x_{L+1}$ would yield $V_1 = 0$, and, successively, all V_k could be shown to be zero.

The general solution of Equations [35] and [36] is then found by adding to [37] the general solution of [39], or what amounts to the same, a linear combination of solutions of [41] and [42].

We thus obtain

$$f(x) = f_0(x) + \sum_{k=1}^{M-L-3} p_k f_k(x) \quad [44]$$

The coefficients p_k are to be determined in such a way that

$$\sum_{n=L+1}^{M-1} [f(x_n) - y_n]^2 + s \sum_{n=L+1}^{M-1} [f''(x_n) - r_n]^2 \quad [45]$$

becomes a minimum.

The larger the value of s the more emphasis is placed on the agreement of the second derivative $f''(x_n)$ of the curve with the second differences r_n of the given data. It is to be observed that in this case we have $M-L-3$ coefficients, and we want to have agreement between the second derivatives and the second differences at $M-L-1$ points, so that no matter how large an s is taken we can only minimize the discrepancies but cannot force the discrepancies to zero. In most cases we will easily achieve agreement of sign between the second derivatives and the second differences with a suitable value of s .

Should a case arise where it cannot be achieved, we can take recourse to the following procedure. Agreement in sign between the second derivative and second difference can be forced if we permit some of the quantities to vary that have been held constant previously. Such quantities are, for instance, the constants which characterize the straight portions; namely, the quantities a, A, b, B , of Equations [35] and [36].

It may be of interest to note that in the case of equidistant abscissas x_n , the solution of Equations [41] and [42] can be given explicitly in comparatively simple form.

If $x_{L+k} = x_L + kh$, then a simple verification shows that

$$g(x) = (x-x_L)^3 - 4(x-x_L-h)_+^3 + 6(x-x_L-2h)_+^3 - 4(x-x_L-3h)_+^3 + (x-x_L-4h)_+^3 \quad [46]$$

can be taken as $f_1(x)$, and all the $f_k(x)$ are found by the formula

$$f_k(x) = g[x - (k-1)h] \quad [47]$$

Thus, we have developed a procedure for finding spline curves into which we incorporate straight portions. The methods described apply to abscissas that are not necessarily equally spaced, but they simplify considerably in the case of equidistant abscissas.

V. APPLICATIONS

The various procedures described so far were applied to both realistic and artificially created data. We now want to describe in some detail two particular problems which were solved on the IBM 704.

In the first case, a set of faired lines for DD 948-949 were available. A small number of offsets were selected as the basis of a problem. We applied our method to them as if they were taken as part of a preliminary design, and reconstructed from them a large number of points in order to compare them with the corresponding faired data.

In particular, eighteen points on each of five waterlines were chosen. The chosen waterlines were 2 ft, 4 ft, 6 ft, 10 ft, and 14½ ft. The point (x_0, y_0) on each waterline was the tabulated initial point of that line so that x_0 was not the same for all waterlines. The other abscissas x_1, \dots, x_{17} were chosen so that $x_n = 20n$ ft.

With these 90 given points, the coefficients of the function representing the major part of the ship's underwater surface were determined by the method of Section III.

This permitted us to compute any number of offsets and, in particular, we were able to tabulate the offsets at 2-ft spacing on each of the input waterlines and also on the 8-ft and 12-ft waterlines.

These computed offsets were then compared with the given faired offsets and the discrepancies were found to be no greater than 5/8-in. at any point.

This example, used as a practice case, was based on input points which were already well faired; therefore, a small value of s , namely, $s = 1$, in Formula [21] gave no fluctuations. Also, when the interpolation method described in Section II was applied to the same data no fluctuations appeared.

A practical application of the methods described here was made in the solution of a problem proposed by the New York Naval Shipyard.

It was requested that faired ship lines for LPD 1 be computed. A preliminary design was given, including a table which contained the offsets on each of 11 waterlines for stations that were generally 25 ft apart. The problem was to compute the offsets for each frame at 2-ft spacing.

A study of the preliminary design of the ship showed a feature which required some extension of the method described previously. Thus far we have considered only ships whose lines have continuous slope and curvature at every point. This ship, the LPD 1, has a horizontal knuckle 22 ft above the keel extending from the midsection to the After Perpendicular. At this knuckle the section lines have a discontinuity of the first derivative.

The waterlines can be handled here in the same way as previously described. If we were to continue as in the case where there is no knuckle and form a surface $y = F(x, z)$, we would be led either to violent fluctuations or, if s in Formula [21] were chosen sufficiently large, the knuckle would be completely faired out, which is not what was desired.

We can avoid this difficulty by subdividing the coefficients of the various waterlines into two groups: those that go with the fore part of the ship where there is no knuckle, and those that go with the aft part of the ship where there is a knuckle. The fore part is treated in the usual manner. In the aft part, we fit the coefficients of the waterlines above the knuckle separately and also the coefficients of the waterlines below the knuckle separately.

In the actual computation, Formula [21] was used with $s = 1$, which gave satisfactory results. The result of the computation was printed by the high-speed printer associated with the computing machine. It was arranged in the form of tables giving offsets on every frame. The frames were spaced 2 ft apart, and on each frame the offsets were given for every waterline level 2 ft apart.

As an illustration, a small part of the input data furnished by the New York Naval Shipyard is presented in Table 1, and the corresponding output computed by the IBM 704 is given in Table 2.

Table 1 contains the portions above the knuckle of Stations 18, 19, and the After Perpendicular.

The computed data for the same region are given in Table 2 for Frames 225 to After Perpendicular (Frame 250), which corresponds to a length of 50 ft, and for the 22-ft to 44-ft waterlines.

Tables 1 and 2 contain what is essentially 1/20 of the actual input and output data.

TABLE 1

Waterline Half-Breadths Furnished by New York Naval Shipyard for LPD 1

(From Station 18, $x = 450$ ft, to Aft Perpendicular, $x = 500$ ft; station spacing 25 ft
Dimensions in ft-in. -8ths)

Station	22-Ft W.L.	28-Ft W.L.	36-Ft W.L.	44-Ft W.L.
18	35- 1-4	35-11-4	37-0-6	38-2-0
19	31-11-4	32-11-6	34-4-2	35-9-0
AP	28- 4-0	29- 7-0	31-3-4	33-0-0

TABLE 2

Waterline Half-Breadths Computed on IBM 704 for LPD 1

(From Frame 225, $x = 450$ ft, to Frame 250, $x = 500$ ft; frame spacing 2 ft. Dimensions in ft-in.-8ths)

Frame	22-Ft W.L.	24-Ft W.L.	26-Ft W.L.	28-Ft W.L.	30-Ft W.L.	32-Ft W.L.
225	35- 1-4	35- 4-7-	35- 8-1+	35-11-4	36- 2-6+	36- 6-1
226	34-10-5+*	35- 2-1-	35- 5-4-	35- 8-7-	36- 0-2-	36- 3-5-
227	34- 7-6+	34-11-2	35- 2-6-	35- 6-1+	35- 9-5-	36- 1-0+
228	34- 4-7	34- 8-3+	34-11-7+	35- 3-3+	35- 6-7+	35-10-3+
229	34- 2-0-*	34- 5-4+	34- 9-1-	35- 0-5+	35- 4-2-	35- 7-6+
230	33-11-0-	34- 2-5	34- 6-2	34- 9-7	35- 1-4	35- 5-1
231	33- 8-0-	33-11-5+	34- 3-3-	34- 7-0	34-10-6-	35- 2-3
232	33- 4-7+	33- 8-5+	34- 0-3+	34- 4-1	34- 7-7	34-11-5
233	33- 1-7-	33- 5-5	33- 9-3+	34- 1-2	34- 5-0+	34- 8-7
234	32-10-6-	33- 2-4+	33- 6-3+	33-10-2+	34- 2-1+	34- 6-0+
235	32- 7-4+	32-11-4-	33- 3-3	33- 7-3-	33-11-2	34- 3-2-
236	32- 4-3-	32- 8-3-	33- 0-2+	33- 4-2+	33- 8-2+	34- 0-3-
237	32- 1-1	32- 5-1+	32- 9-2-	33- 1-2	33- 5-3-	33- 9-3+
238	31- 9-7	32- 2-0-	32- 6-1-	32-10-2-	33- 2-3-	33- 6-4
239	31- 6-5-	31-10-6-	32- 2-7+	32- 7-1-	32-11-2+	33- 3-4+
240	31- 3-2	31- 7-4-	31-11-6-	32- 4-0-	32- 8-2	33- 0-4+
241	30-11-7	31- 4-1+	31- 8-4-	32- 0-6+	32- 5-1+	32- 9-4
242	30- 8-4-	31- 0-7-	31- 5-2-	31- 9-5	32- 2-0+	32- 6-4-
243	30- 5-0+	30- 9-4-	31- 1-7+	31- 6-3	31-10-7	32- 3-3+
244	30- 1-5-	30- 6-1-	30-10-5-	31- 3-1	31- 7-6-	32- 0-3-
245	29-10-1-	30- 2-5	30- 7-2-	30-11-7	31- 4-4	31- 9-2-
246	29- 6-4+	29-11-1+	30- 3-7-	30- 8-4+	31- 1-2+	31- 6-0+
247	29- 3-0-	29- 7-5+	30- 0-3+	30- 5-2-	30-10-0+	31- 2-7
248	28-11-3-	29- 4-1	29- 9-0-	30- 1-7-	30- 6-6	30-11-5+
249	28- 7-5+	29- 0-4+	29- 5-4-	29-10-3+	30- 3-3+	30- 8-4-
250	28- 4-0	28- 8-7+	29- 1-7+	29- 7-0	30- 0-1-	30- 5-2-
Frame	34-Ft W.L.	36-Ft W.L.	38-Ft W.L.	40 Ft W.L.	42-Ft W.L.	44-Ft W.L.
225	36- 9-3+	37- 0-6	37- 4-0+	37- 7-3-	37-10-5+	38- 2-0
226	36- 7-0-	36-10-3-	37- 1-6-	37- 5-1-	37- 8-4-	37-11-7-
227	36- 4-4-	36- 7-7+	36-11-3-	37- 2-6+	37- 6-2	37- 9-5+
228	36- 1-7+	36- 5-3+	36- 8-7+	37- 0-4-	37- 4-0-	37- 7-4
229	35-11-3-	36- 2-7+	36- 6-4	36-10-0+	37- 1-5+	37- 5-2
230	35- 8-6	36- 0-3	36- 4-0	36- 7-5+	36-11-2+	37- 3-0-
231	35- 6-1-	35- 9-6+	36- 1-4	36- 5-2-	36- 8-7+	37- 0-5+
232	35- 3-3+	35- 7-1+	35-10-7+	36- 2-6-	36- 6-4	36-10-3-
233	35- 0-5+	35- 4-4	35- 8-3	36- 0-2-	36- 4-1-	36- 8-0-
234	34- 9-7+	35- 1-7-	35- 5-6	35- 9-5+	36- 1-5	36- 5-5-
235	34- 7-1+	34-11-1	35- 3-1	35- 7-1-	35-11-1	36- 3-1+
236	34- 4-3-	34- 8-3	35- 0-3+	35- 4-4	35- 8-5-	36- 0-6-
237	34- 1-4	34- 5-5	34- 9-6	35- 1-7	35- 6-0+	35-10-2-
238	33-10-5+	34- 2-7-	34- 7-0+	34-11-2	35- 3-4-	35- 7-6-
239	33- 7-6	34- 0-0	34- 4-2+	34- 8-5-	35- 0-7	35- 5-2-
240	33- 4-7-	33- 9-1+	34- 1-4	34- 5-7	34-10-2	35- 2-5+
241	33- 1-7+	33- 6-2+	33-10-6	34- 3-1+	34- 7-5	35- 0-1-
242	32-11-0-	33- 3-3+	33- 7-7+	34- 0-3+	34- 5-0-	34- 9-4
243	32- 8-0-	33- 0-4	33- 5-1-	33- 9-5+	34- 2-2+	34- 6-7+
244	32- 4-7+	32- 9-5-	33- 2-2-	33- 6-7	33-11-5-	34- 4-2
245	32- 1-7	32- 6-5	32-11-3-	33- 4-1-	33- 8-7-	34- 1-5
246	31-10-7-	32- 3-5	32- 8-4-	33- 1-2	33- 6-1-	33-10-7+
247	31- 7-6	32- 0-5	32- 5-4	32-10-3+	33- 3-3-	33- 8-2-
248	31- 4-5	31- 9-5-	32- 2-5-	32- 7-4+	33- 0-4+	33- 5-4
249	31- 1-4	31- 6-4+	31-11-5	32- 4-5+	32- 9-6-	33- 2-6
250	30-10-3-	31- 3-4-	31- 8-5	32- 1-6	32- 6-7	33- 0-0-

*Plus sign indicates + 1/24 in.; minus sign indicates - 1/24 in.

VI. CONCLUSION

The methods derived here were developed to serve as a means for finding an arbitrarily large number of full-scale offsets if only a limited number of offsets, taken from a preliminary design, are given. This particular use guided us in the choice of the type of curve to represent a typical ship line. As was made clear, particularly in Section III, special care was taken to avoid unwanted fluctuations.

If we fit a whole waterline or a half waterline with a single polynomial, we do not have any assurance that our lines are free from unwanted fluctuations. Even a least-squares procedure will not necessarily exclude unwanted fluctuations, since it controls only the discrepancies between given and computed points and does not put any constraint on the curvature. In Section III we described a method where such a constraint on the curvature is applied.

The representation of a single line by means of different cubics for different parts of the line, which might be cumbersome for hand calculations, does not constitute any difficulty for a high-speed computer.

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